# Liquid Capital Statement

for the month of 31-JAN-18

## of M/s. FDM Capital Securities (Pvt.)Ltd

Submission Date 15-FEB-2018 15:44:43

Page 1 Of 3

1 Assets 11 Property & Equipment 12 Interspent in Conf. Securities 13 Interspent in Conf. Securities 13 Interspent in Conf. Securities 14 Invostment in Dobt. Securities 14 Invostment in Dobt. Securities 15 Interspent in Conf. Securities 16 Invostment in Dobt. Securities 18 In Securities Interspent in Conf. Securities 19 Invostment in Dobt. Securities 19 Invostment in Dobt. Securities 19 Invostment in Dobt. Securities 10 Invostment in Dobt. Securities 10 Invostment in Dobt. Securities 10 Invostment in Dobt. Securities 11 Invostment in Conf. Securities Invostment in Securities Invostment in Conf. Securities Invostment in Securities Invostment in Conf. Securities Invostment in Securities Invostment in Conf. Securities Invostment Invost					
1. Asserts 1.1. Property & Equipment 1.2. Imagine Assets 1.2. Imagine Assets 1.3. Imagine Assets 1.4. Imagine Assets 1.5. Imagine Assets 1.6. Imag	S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
1.1 Property & Equipment 1.3 Interpretation Court Socialism 1.3 Interpretation Court Socialism 1.4 Investment in Court Socialism 1.5 Of the Interpretation Court Socialism 1.5 Of the Interpretation Court Socialism 1.6 Of the Interpretation Court Socialism 1.7 Of the Interpretation Court Socialism Court S			Pak Rupee	Adjustments	Value
1.1 Property & Equipment 1.3 Interpretation Court Socialism 1.3 Interpretation Court Socialism 1.4 Investment in Court Socialism 1.5 Of the Interpretation Court Socialism 1.5 Of the Interpretation Court Socialism 1.6 Of the Interpretation Court Socialism 1.7 Of the Interpretation Court Socialism Court S					
Total Principle   Section   Sectio			15 225 006	15 225 006	
1.3   Investment in Cects Securities					
Investment in Debt. Securities			9,170,850	9,170,850	
If Island than.  If Island than, If Island than, If Island the telephone sheet value, in the case of feature tom 1-3 yeas. If Island the telephone sheet value, in the case of feature from 1-3 yeas. If Intributed than, If Island the telephone sheet value, in the case of feature from 1-3 yeas. If Island the telephone sheet value, in the case of feature from 1-3 yeas. If Island the above that the telephone sheet value, in the case of feature from 1-3 years. If Island the above that the telephone sheet value, in the case of feature from 1-3 years. If Island the above that the above that the telephone sheet value, in the case of tenure from 1-3 years. If Island 15% or Valid of each securities in the cutoff date as computed by the Securities Exchange for 1-34,586,544 (202,192,245 (52,494,30)). If Island 15% or Valid of each securities in the cutoff date as computed by the Securities Exchange for 1-34,586,544 (202,192,245 (52,494,30)). If Island 15% or Valid of each securities in the Conference of the Conferen					
List of the balance sheet value in the case of tenue upto 1 year.  I. 15th of the balance sheet value, in the case of tenue upto 1 year.  I. 10th of the balance sheet value, in the case of tenue upto 1 year.  I. 10th of the balance sheet value, in the case of tenue upto 1 year.  I. 12th of the balance sheet value in the case of tenue upto 1 year.  I. 12th of the balance sheet value, in the case of tenue upto 1 year.  I. 15th of the balance sheet value, in the case of tenue upto 1 year.  I. 15th of the balance sheet value, in the case of tenue upto 1 year.  I. 15th of the balance sheet value, in the case of tenue upto 1 year.  I. 15th of the balance sheet value, in the case of tenue does not not not not year.  I. 15th of the balance sheet value, in the case of tenue of none than 3 year.  I. 15th of the balance sheet value, in the case of tenue of none than 3 year.  I. 15th of the balance sheet value, in the case of tenue of none than 3 year.  I. 15th of the balance sheet value, in the case of tenue of none than 3 year.  I. 15th of the sheet value of the sheet value in the case of tenue of none than 3 year.  I. 15th of the sheet value is the sheet value in the sheet value in the sheet value in the sheet value in the sheet value is the sheet value in the sheet value in the sheet value is the sheet value in the sheet value is the sheet value in the sheet value in the sheet value is the sheet value in the sheet value in the sheet value is the sheet value in the sheet value in the sheet value in the sheet value is the sheet value in					
II. 13% of the balance sheet value, in the case of fenue from 2 syess.					
Full Indicated than:		ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
L 12% of the balance sheet value in the case of feature upto 1 year.  Il 15% of the balance sheet value, in the case of feature from 1.3 years.  Il 15% of the balance sheet value, in the case of feature from 1.3 years.  Il 15% of the balance sheet value, in the case of tenure from 1.3 years.  Il 15% of the balance sheet value, in the case of tenure from 1.3 years.  In 15% of the balance sheet value, in the case of tenure from 1.3 years.  In 15% of the balance sheet value, in the case of tenure from 1.3 years.  It is united 10% of carging value.  If it united 10% of value value of the val					
II 13.5% of the balance sheet value, in the case of femue from 1-3 years.					
iii. 15% of the balance sheet value, in the case of tenue of more than 3 years.  In Investment in Equity Securities  In Flated 15% of VAR of each securities on the cutoff date as computed by the Securities Exchange for especific securities whicheve is higher.  If Subcription mency against Investment in IPDOFfer for Sale: Amount paid as subcription mency power of the 15 years. The securities which has been policed to an end included in the investment of securities trades.  If Subcription mency against Investment in IPDOFfer for Sale: Amount paid as subcription mency power of the 15 years. The securities which has been policed to a next included in the investment of securities trades.  If Subcription mency against Investment in any asset including shares of listed securities that are in Block. Freeze or Pedege status as on reporting date, (up 19, 2017).  Pended that 10% inactus shall not be applied in case of investment in those sequipments or pleaged in favor of Sanes against Snot from financing an agreements. In such case, the balancus as provided in schedule III of the Requisitions in respect of investment in securities shall be applicable (August 25, 2017).  Investment in associated companies/undertaking.  In Firsted 20% or VAR of each securities as computed by the Securities Sachange for respective securities whichever kingler.  In Statutory or requisitory depositions accomplished by the Securities Securities securities were whichever kingler.  In Statutory or requisitory depositions of securities and securities and securities and securities and securities as computed by the Securities Securities securities securities whichever kingler.  In Statutory or requisitory depositions are securities and securities and securities securities securities securities and securities of the securities and securities a					
Investment in Equity Securities   State					
Lif listed 1% or Valk of each securities on the cutoff date as computed by the Securities Exchange for objective securities withchever's higher and the computed by the Securities Exchange for the computed by the Securities of the cutoff of the computed by the Securities of the cutoff of the cuto	1.5				
respective securities whichever is higher ii. Furnities (10% of carginary value. iii. Subscription money against investment in PChriffer for Sale. Amount paid as subscription money iii. Subscription money against investment in a problem of the subscription money iii. Subscription money against investment in a problem of the subscription money iii. Subscription money against investment in a problem of the subscription money iii. Subscription money against funding the subscription of		i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	344,686,544	292,192,243	52,494,301
III Subscription money against investment in PiOtifer for Sale. Amount paid as subscription money growted that shares have not been alloted or are not included in the investments of securities broker.		respective securities whichever is higher.			
provided that shares have not been alloted or are not included in the investments of securities broker.  In 1008, Haicust shall be applied to Value of investment in may asset including shares of listed socialises.  In a special policy of the state of t					
in 100% Haicut shall be applied to Value of Investment in any asset Including shares of Inleed securities Ithal are in Block, Freeze or Pelegé saltas as on reporting date. (July 19, 2017)  Bevided that 100% haicut shall not be applied in case of investment in those securities which are Pleedged in 120% of 100% haicut shall not be applied in case of investment in those securities which are Pleedged in 120% of 100% haicut shall not be applied and the pleedged in 120% of 100%					
Provided that 100% halicrust shall not be applied in case of investment in those securities which are Pledged in favor of Banks against Short Technique (Jeclamy Blobes against Margin Financing requirements or pledged in favor of Banks against Short Technique (Jeclamy Blobes) and the provided in Schedule ill of the Requirement in associated companies undertaking in the state of the provided in Schedule ill of the Requirement in associated companies undertaking in Financial Provided in Schedule in Schedule (Jeclamy Blobes) in the Schedule (Jeclamy Blobes) i		iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
Piedged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Banks against Short Term financing anangements. In such cases, the haticut as provided in schedule III of the Regulations in respect of investment in subcidiates.  1.7 Investment in associated companies/funderfaking Investment in associated companies/funderfaking II. If Ilisted 20% or Valo G each securities as computed by the Securities Exchange for respective securities whichever is higher.  1.8 Investment in associated companies/funderfaking U. If Ilisted 20% or Valo G each securities as computed by the Securities Exchange for respective securities whichever is higher.  1.9 Ili fundated 30% or Valo G each securities as computed by the Securities Exchange for respective securities whichever is higher.  1.1 Solution or requisition of the Securities and Clearing house or central depository of the entity.  1.1 Obstacl and sulfhorted intermediately against borrowed securities under SLB.  1.1 Obstacl and sulfhorted intermediately against borrowed securities under SLB.  1.1 Obstacl and sulfhorted intermediately against borrowed securities under SLB.  1.1 Obstacl and sulfhorted intermediately against borrowed securities under SLB.  1.1 Obstacl and sulfhorted intermediately against borrowed securities and other related parties.  1.1 Obstacl and sulfhorted intermediately against securities and other related parties.  1.1 Obstacl and sulfhorted intermediately against Report inter		that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
in favor of Banks against Short term financing arrangements in such cases, the haticut as provided in schedule liff of the Requalitoris in respect of investment in securities shall be applicable (August 25, 2017)  1.0 Investment in subdidiates  1.1 Investment in subdidiates  1.2 Investment in subdidiates  1.3 Statutory or regulatory deposits so computed by the Securities Exchange for respective securities whichever is higher.  1.3 Statutory or regulatory deposits basic deposits with the exchanges, clearing house or central depository  1.9 Margin deposits with exchange and clearing house.  1.9 Margin deposits with exchange and clearing house.  1.10 Deposits with exchange and clearing house.  1.11 Other deposits with exchange and clearing house.  1.12 Account interest, print or mark-up on amounts placed with financial institutions or debt securities  1.13 (Dividend interest print or mark-up on amounts placed with financial institutions or debt securities  1.14 Amounts recreated be against Report financing.  1.15 (Short in respect of markup accrued on loans to directors, subsidiaries and other related parties.  1.16 (Swill) (Swill and the substance) (Swill institutions or debt securities.  1.17 (Swill) (Swill in the substance) (Swill institutions or debt securities.  1.18 (Swill in the substance) (Swill institutions or debt securities.  1.19 (Swill institutions) (Swill institutions or debt securities.  1.10 (Swill institutions) (Swill institutions) (Swill institutions or debt securities.  1.11 (Swill institutions) (Swill institutions) (Swill institutions or debt securities.  1.12 (Swill institutions) (Swill institutions) (Swill institutions or debt securities.  1.12 (Swill institutions) (Swill institutions) (Swill institutions or debt securities.  1.13 (Swill institutions) (Swill institutions) (Swill institutions or debt securities.  1.14 (Swill institutions) (Swill institutions) (Swill insti					
schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)  1.6 Investment in subsidializeds  1.7 Investment in associated companies/undertaking  1.1 Ill section of securities as computed by the Securities Exchange for respective securities whichever is higher.  1.2 Ill it unisted, 100% of net value.  1.3 Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository whichever is higher.  1.3 Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository of the statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository of the statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository of the statutory or regulatory deposits/basic deposits with exchange and clearing house or central depository of the statutory or regulatory deposits with a statutory or regulatory deposits with exchange and clearing house or central depository or deposits with exchanges and clearing house or central depository or depository or depository or deposits with exchanges and clearing house or central depository or depos					
Investment in associated companies/undertaking   It lited 70% or Var for each securities as computed by the Securities Exchange for respective securities whichever is higher   It lited 70% or Var for each securities as computed by the Securities Exchange for respective securities whichever is higher   It lited 70% or any other entity.					
I. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities whichevent is higher whichevent is higher.					
whichever is higher. ii. If unlisted, 100% of net value.  1.8 Statutory or regulatory deposits/asic deposits with the exchanges, clearing house or central depository or any other entity.  1.9 Margin deposits with exchange and clearing house.  1.10 Deposits with exchange and clearing house.  1.11 Other deposits with exchange and clearing house.  1.12 Accuracy in the properties of the prop	1.7				
ii. If unlisted, 100% of net value.  1.8 Statutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository or any other entity.  1.9 Margin deposits with exchange and clearing house.  1.10 Deposit with authorized intermediary against borrowed securities under SLB.  1.11 Office deposits and prepayments.  1.12 Accrued interest, profit or mark—up on amounts placed with financial institutions or debt securities etc.(Nii) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties.  1.13 Dividends receivables.  1.14 Amounts receivable against Repo financing.  Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)  1.15 Is host term Loan to Employees: Loans are Secured and Due for repayment within 12 months.  ii. Receivables other than trade receivables.  1.16 Receivables from clearing house or securities exchange(s)  1.17 Receivables from clearing house or securities exchange(s)  1.18 Receivables from customers.  1.19 Receivables from customers.  1.10 In case receivables are against trading of securities in all markets including MIM gains.  1.19 Receivables from customers.  1.10 In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haicut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haicut.  1.10 Lower of net balance sheet value or value determined through adjustments.  1.11 Lower of net balance sheet value or value determined through adjustments.  1.12 Lower of net balance sheet value or value determined through adjustments.  1.13 Losa eroceivables are against trading soft of the related balance. Sheet value.  1.24 Lower of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value.  1.25 Value amount after deducting haicut  1.26 Value and the fin					
18 Statutory or regulatory deposits with the exchanges, clearing house or central depository or any other entity.  1.9 Margin deposits with exchange and clearing house. 1.10 Deposit with authorized intermediary against borrowed securities under SLB. 1.11 Other deposits and prepayments 1.12 Accord interest profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nii) 10% in respect of markup accrued on loans to directors, subsidiaries and other related parties 1.13 Dividends receivables. 1.14 Amount secelvable against Repo financing. 1.15 Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the Investments). 1.16 I. Short Irem Loan To Employees: Loans are Secured and Due for repayment within 12 months 1.17 I. Short Irem Colaring house or securities exchange(s) 1. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MMM gains. 1. Receivables from Cuslomers 1. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut. (ii) cash deposited as collateral by the financee (iii) market value of any securities are against margin trading, Sk of the net balance sheet value. 1. In case receivables are against margin trading, Sk of the net balance sheet value. 1. In case receivables are against smargin trading, Sk of the net balance sheet value. 1. In case are ceivables are against smargin trading, Sk of the net balance sheet value. 1. In case of other trade receivables nor more than 5 days overdue, 0% of the net balance sheet value. 1. In case of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of any claim and the state of the polyting VAR based halicuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities profit or value of securities profit or outstates and pains trade rec					
1.10   Deposit with auchange and clearing house.	1.8		4,215,800	4,215,800	
1.10   Deposit with authorized intermediary against borrowed securities under SLB.					
1.11   Other deposits and prepayments					
1.12   Accrued interest, profit or malk-up on amounts placed with financial institutions or debt securities etc.(Nii)   100% in respect of markup accrued on loans to directors, subsidiaries and other related parties   1.13   Dividends teceviable against Repo financing,					
1.13 Dividends receivables and paccued on loans to directors, subsidiaries and other related parties 1.14 Amounts receivable against Repo financing, Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1.5 In Short Irem Loan To Employees: Loans are Secured and Due for repayment within 12 months 1.6 Receivables other than trade receivables 1.7 In 100% value of claims other than trade receivables 1.8 Receivables from Clearing house or securities exchange(s) 1.9 In 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. 1.0 Receivables from Clearing house or securities in all markets including MtM gains. 1.0 Receivables from customers 1. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut, ii. I. Lower of net balance sheet value or value determined through adjustments. 1. In Incase receivables are against margin trading, 5% of the net balance sheet value. 1. I. Net amount after deducting haircut 1. II. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 1. I. Net amount after deducting haircut 1. I.					
1.14 Amounts receivables against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)  1.15 Is not rem Loan to Employees: Loans are Secured and Due for repayment within 12 months  ii. Receivables other than trade receivables  1.16 Receivables from Clearing house or securities exchange(s) 1. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.  ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  1.17 Receivable on entitlements against trading of securities held in the blocked account after applying VAR based Halicut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based halicut.  ii. Incase receivables are against margin frainding. 5% of the net balance sheet value.  iii. Incase receivables are against margin frainding. 5% of the net balance sheet value.  iii. Incase receivables are against margin frainding. 5% of the net balance sheet value.  iii. Incase receivables are against margin frainding. 5% of the net balance sheet value.  iii. Incase receivables are against margin frainding. 5% of the net balance sheet value.  iii. Incase receivables are against margin frainding. 5% of the net balance sheet value.  iii. Incase receivables are against securities horrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Incase receivables are ordereducting halicut.  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  v. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securitie		etc.(Nil)			
Amounts receivable against Repo financing.  Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)  1.15  i. Short Ferm Loan To Employees: Loans are Secured and Due for repayment within 12 months  ii. Receivables other than trade receivables  1.16  Receivables from clearing house or securifies exchange(s)  i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.  ii. Receivables from customers  ii. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral after applying VAR based haircut.  ii. Lower of net balance sheet value or value determined through adjustments.  iii. Incase receivables are against margin financing, so of the net balance sheet value.  iii. Incase receivables are against margin financing, so of the net balance sheet value.  iii. Incase receivables are against margin fading, 5% of the net balance sheet value.  iii. Incase receivables are against margin frading, 5% of the net balance sheet value.  iii. Incase receivables are against margin frading, 5% of the net balance sheet value.  iii. Incase receivables are against margin frading, 5% of the net balance sheet value.  iii. Incase receivables are against margin frading, 5% of the net balance sheet value.  iii. Incase receivables are against margin frading, 5% of the net balance sheet value.  iii. Incase receivables are against margin frading, 5% of the net balance sheet value.  iii. Incase receivables are against margin frading, 5% of the net balance sheet value.  iii. Incase receivables are against margin frading, 5% of the net balance sheet value.  iii. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  v.	1 12				
Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)  1.15  i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  ii. Receivables other than trade receivables  1.16  Receivables from Clearing house or securities exchange(s)  i. 10% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.  ii. Receivables on entitlements against trading of securities in all markets including MtM gains.  Receivables from customers  i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  ii. Incase receivables are against margin trading, 5% of the net balance sheet value.  iii. Incase receivables are against margin trading, 5% of the net balance sheet value.  iii. Net amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  v. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market  value of securities purchased for customers and helid in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts, vi. 100% haircut in the case of amount receivable form related parties.  1.8 Bank Balance-proprietory accounts  ii. Bank balance-customer accounts		Amounts receivable against Repo financing.			
1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months ii. Receivables other than trade receivables  1.16 Receivables from Clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. ii. Lower of net balance sheet value or value determined through adjustments. iii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, vi. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances ii. Bank Balance-proprietory accounts iii. Bank balance-customer accounts		Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
ii. Receivables other than trade receivables  1.16 Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 13,305,340 0 13,305,34 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, vi. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank Balance-customer accounts 101,216,952 0 101,216,955		shall not be included in the investments.)			
1.16 Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut. (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iiv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. v. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts iii. Bank balance-customer accounts	1.15	i. Short Term Loan to Employees: Loans are Secured and Due for repayment within 12 months			
1.16 Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut. (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iiv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. v. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts iii. Bank balance-customer accounts		ii. Receivables other than trade receivables			
i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.  ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  1.17 Receivables from customers  i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  i. Lower of net balance sheet value or value determined through adjustments.  ii. Incase receivables are against trading, 5% of the net balance sheet value.  iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  iii. Net amount after deducting haircut  iv. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral by the respective customer and (iii) the market value of securities held as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  1.18 Cash and Bank balances  i. Bank Balance-proprietory accounts  ii. Bank Balance-customer accounts	1.16				
ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  1.17 Receivables from Customers I. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. I. Lower of net balance sheet value or value determined through adjustments. Iii. Incase receivables are against margin trading, 5% of the net balance sheet value. Iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, Iii. Net amount after deducting haricut Iii. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. Iv. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market V. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market V. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market V. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market V. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market V. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market V. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market V. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (ii) the market V. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (ii) the market V. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (ii) the market V. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (ii) the market V. Incase of other trade receivables are overdue, or 5		i. 100% value of claims other than those on account of entitlements against trading of securities in all			
1.17 Receivables from customers  i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  i. Lower of net balance sheet value or value determined through adjustments.  ii. Incase receivables are against margin trading, 5% of the net balance sheet value.  iii. Net amount after deducting haircut  iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  1.18  Cash and Bank balances  i. Bank Balance-proprietory accounts  ii. Bank balance-customer accounts  101,216,952  0 101,216,952					
i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  i. Lower of net balance sheet value or value determined through adjustments.  ii. Incase receivables are against margin trading, 5% of the net balance sheet value.  ii. Net amount after deducting haircut  iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  iii. Net amount after deducting haricut  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market  value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  1.18  Cash and Bank balances  i. Bank Balance-proprietory accounts  iii. Bank balance-customer accounts  101,216,952  101,216,952  101,216,952		<u> </u>			
blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Net amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts  101,216,952 0 101,216,952	1.17				
market value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts, vi. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts iii. Bank balance-customer accounts iii. Bank balance-customer accounts iii. Bank balance-customer accounts iii. Bank balance-customer accounts					
ii. Incase receivables are against margin trading, 5% of the net balance sheet value.  ii. Net amount after deducting haircut  iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  iii. Net amount after deducting haricut  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market  value of securities purchased for customers and held in sub-accounts after applying VAR based  haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of  securities held as collateral after applying VAR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  1.18  Cash and Bank balances  i. Bank Balance-proprietory accounts  ii. Bank balance-customer accounts  101,216,952  0 101,216,952		market value of any securities deposited as collateral after applying VaR based haircut.			
ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts  101,216,952  101,216,952					
iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.  1.18  Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts  101,216,952  101,216,952					
iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  1.18  Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts  101,216,952  0 101,216,952					
iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.  1.18  Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts  101,216,952  0  13,305,340  29,968,691  29,968,691  29,968,691  29,968,691  20,363,210  23,363,210  23,363,210  23,363,210  23,363,210  20,363,210					
iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts  101,216,952  101,216,952			40.005.010		40.005.010
v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  Cash and Bank balances  i. Bank Balance-proprietory accounts  ii. Bank balance-customer accounts  23,363,210  23,363,210  23,363,210  23,363,210  23,363,210  23,363,210  21,363,210  23,363,210  2			13,305,340	0	13,305,340
value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  1.18  Cash and Bank balances  i. Bank Balance-proprietory accounts  ii. Bank balance-customer accounts  101,216,952  0 101,216,952			29,968,691	23,363,210	23,363,210
securities held as collateral after applying VaR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts 101,216,952 0 101,216,952		value of securities purchased for customers and held in sub-accounts after applying VAR based	,,.	,,	, ,
vi. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts 101,216,952 0 101,216,952					
1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts 101,216,952 0 101,216,952					
i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts 101,216,952 0 101,216,95	1.18				
ii. Bank balance-customer accounts 101,216,952 0 101,216,95					
		ii. Bank balance-customer accounts	101,216,952	0	101,216,952
iii. Cash in hand		iii. Cash in hand			

# Liquid Capital Statement

for the month of 31-JAN-18

## of M/s. FDM Capital Securities (Pvt.)Ltd

Submission Date 15-FEB-2018 15:44:43

Page 2 Of 3

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.19	Total Assets	517,900,083	344,278,009	190,379,803
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products	404.040.054		404.040.054
2.2	iii. Payable to customers  Current Liabilities	101,048,654	0	101,048,654
2.2	i. Statutory and regulatory dues			
	ii. Accruals and other payables	510,520	0	510,520
	iii. Short-term borrowings			
	iv. Current portion of subordinated loans v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts			
	viii. Provision for taxation			
2.3	ix. Other liabilities as per accounting principles and included in the financial statements  Non-Current Liabilities			
2.5	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease			
	b. Other long-term financing ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.  iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:	2,500,000	2,500,000	
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified:  a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period  b. No haircut will be allowed against short term portion which is repayable within next 12 months.  c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.  ii. Subordinated loans which do not fulfill the conditions specified by SECP			
2.5	Total Liabilites	104,059,174	2,500,000	101,559,174
3	Ranking Liabilities Relating to :	104,000,174	2,000,000	101,000,174
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
2.2	borrowed			
3.3	Net underwriting Commitments  (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription			
	price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting			
	(b) in any other case: 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
3.5	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions  5% of the net position in foreign currency. Net position in foreign currency means the difference of total			
	assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment			

# Liquid Capital Statement

for the month of 31-JAN-18

## of M/s. FDM Capital Securities (Pvt.)Ltd

Submission Date 15-FEB-2018 15:44:43

Page 3 Of 3

s.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
3.8	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities.  In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securities deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.  Concentrated proprietary positions  If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of			
3.9	the value of such security  Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met	976,530	0	
3.10	Short selll positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	976,530		
3.12	Liquid Capital	412,864,379	341,778,009	88,820,629